

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Svendsen et al.

Confirmation No: To be assigned

Serial No.: To be assigned

Group Art Unit: To be assigned

Filed: August 20, 2003

Examiner: To be assigned

For: Alpha-Amylase Mutants

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(c)**

Commissioner for Patents  
Washington, DC 20231

Sir:

In accordance with 37 C.F.R. 1.56, 1.97 and 1.98, Applicants submit herewith references which they believe may be material to the patentability of this application and with respect to which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While the references may be "material" under 37 C.F.R. 1.56, it is not intended to constitute an admission that the references are "prior art" unless specifically designated as such.

The filing of this Information Disclosure Statement shall not be construed as a representation that no other material references than those listed exist or that a search has been conducted.

The references are listed in Form PTO-1449 which is in accordance with the requirements of M.P.E.P. 609. A copy of the references was filed in US application no. 09/672,459 filed September 28, 2000, the benefit of which is claimed under 35 USC 120.

The references are as follows:

1. WO 96/23874
2. WO 95/10603
3. WO 95/35382
4. WO 91/00353
5. U.S. Patent No. 5,731,280
6. U.S. Patent No. 5,736,499
7. U.S. Patent No. 5,824,532

It is respectfully requested that these references be considered by the Patent and Trademark Office in its examination of the above-identified application and be made of record

therein. The Examiner is also invited to contact the Undersigned if there are any questions concerning this paper or the attached references.

The information disclosure statement submitted herewith is being filed **within** three months of the filing date of a national application or date of entry into the national stage of an international application, or **before** the mailing date of a first Office action on the merits, or **before** the mailing date of a first Office action after the filing of a request for continued examination. Therefore, no fee is due.

Respectfully submitted,

Date: August 20, 2003



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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| <p><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br/>(use as many sheets as necessary)</p> |   |    |   | <b>Complete if Known</b> |                 |
|   |   |    |   | Application Number       | To be assigned  |
|   |   |    |   | Filing Date              | August 20, 2003 |
|   |   |    |   | First Named Inventor     | Svensden et al. |
|   |   |    |   | Art Unit                 | To be assigned  |
|   |   |    |   | Examiner Name            | To be assigned  |
|   |   |    |   | Attorney Docket Number   | 4796.234-US     |
| Sheet   | 1 | of | 4 |                          |                 |

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

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|  |   |    |   | First Named Inventor     | Svendsen et al. |
|  |   |    |   | Art Unit                 | To be assigned  |
|  |   |    |   | Examiner Name            | To be assigned  |
| Sheet  | 3 | of | 4 | Attorney Docket Number   | 4796.234-US     |

| OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS |                          |  |                |
|---|--------------------------|--|----------------|
| Examiner's<br>Initials                            | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T <sup>2</sup> |
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| Examiner<br>Signature |  | Date<br>Considered |  |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents, Washington, DC 20231.